

Date: _____

Branch Address: 1065 Highway 315
Suite 401
Wilkes Barre, PA 18702
Office: 570.821.1828

FINANCIAL DISCOVERY FACTSHEET

The purpose of this review is to assist us in understanding all your financial concerns. Together we will design a financial strategy tailored to your needs. **We strongly advise that you invest your time before you invest your money!**

Personal Information

Full Name: _____
Social Security #: _____
Marital Status: _____
Birthdate: _____
Gender: _____

Contact information

Cell Phone: _____ Business Phone: _____
Email Address: _____ Additional Email: _____
Home phone: _____
Legal Address: _____
Mailing Address: _____
*If different from legal _____

Financial

Total Annual Income \$ _____ Total Net worth \$ _____ Liquid Net Worth \$ _____

Primary Source of income	_____	Source of Wealth	Compensation	Security Investment
Employment Status	_____	*Select all that apply	Business Ownership	Inheritance/ Gift
Target Retirement Year	_____		Private Investment	Real Estate

Occupation: _____
Nature of Business: _____
Employer: _____
Years with Employer _____
Employer Address: _____

Investment Experience & Risk Tolerance

For how many years have you invested in: Stocks ____ years Options ____ years
 Bonds ____ years Alternatives ____ years

Other investments (\$ amount held outside of Morgan Stanley)
 Stocks \$ ____ Options \$ ____
 Bonds \$ ____ Alternatives \$ ____

How would you classify yourself as an investor?
 Conservative Moderate Aggressive

With regard to your retirement assets?
 Conservative Moderate Aggressive

Investment objectives:
 (Rank from 1 to 4 in order of priority, 1 being the highest) _____ Income _____ Capital appreciation
 _____ Speculation _____ Aggressive income

Children

Name	Birthdate	Social Security Number
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Document Checklist

Please provide:

- | | |
|--|---|
| <input type="checkbox"/> Your current financial plan | <input type="checkbox"/> Brokerage statements |
| <input type="checkbox"/> A copy of your most recent tax return | <input type="checkbox"/> Employer retirement plan documents |
| <input type="checkbox"/> Savings and banking statements | <input type="checkbox"/> Minor children's investment statements |
| <input type="checkbox"/> Retirement account statements | <input type="checkbox"/> Annuity contract statements |
| <input type="checkbox"/> Social Security statements | <input type="checkbox"/> Life insurance policy statements |