



Morgan Stanley

THE KELLIHER CORBETT GROUP
AT MORGAN STANLEY

Building Better Retirement Plans:

A Virtual Workshop Series for Plan Sponsors

Please join Morgan Stanley for a virtual workshop series curated to help you deliver a best-in-class retirement program to your employees. Learn invaluable insights and thought leadership tailored specifically to the needs and interests of senior leadership members, investment committees, and finance and human resources professionals.

Click individual event title to register (or to request event materials from prior events)

All sessions will be held from 10:00 – 11:00 AM ET

April 2, 2025

Solving Non-Discrimination Testing Challenges with Innovative Plan Design

This workshop will explore the complexities of non-discrimination testing and ways you can navigate them as a plan sponsor. Learn about ADP/ACP, top-heavy tests, Safe Harbor 401(k) plans, as well as plan design strategies to simplify compliance, reduce/eliminate test failures, and enhance plan participation.



FEATURED SPEAKER:

Brady Palmer

Regional Sales Director Northern New England
The MandMarblestone Group

May 6, 2025

The Power of Benchmarking: Evaluating Costs and Features in Your Retirement Plan

Designing effective qualified retirement plans that meet the plan sponsor's objectives in a cost-effective way has become somewhat of a lost art. In this workshop, we'll explore why that is and discuss benchmarking essentials that can help you design the best plan for your employees.



FEATURED SPEAKER:

Tom Kmak

Co-Founder & Chief Executive Officer
Fiduciary Decisions & Insights

June 4, 2025

Fiduciary Excellence: Best Practices for Managing Retirement Plans

Are you a fiduciary? Are you sure? In this session, we'll cover everything you need to know as a fiduciary, including the key fiduciary responsibilities and who the plan fiduciaries are. We'll also discuss the consequences of fiduciary failure and ways you can reduce your risk and liability.



FEATURED SPEAKER:

John Doyle

Senior Retirement Strategist
Capital Group

September 9, 2025

Strengthening Plan Governance: Importance of the Investment Policy Statement & Committee Charter

Effective retirement plan governance is essential for mitigating risk, ensuring compliance, and improving participant outcomes. In this session, we'll explore the role of the Investment Policy Statement (IPS) and the Committee Charter in maintaining a well-structured and prudent retirement plan.



FEATURED SPEAKER:

Jennifer Kiffmeyer, JD

Chief Operating Officer
Retirement Learning Center

October 9, 2025

Attracting and Retaining Top Talent: A Guide to Executive Benefits & Non-Qualified Plans

In today's competitive job market, attracting and retaining top talent requires more than a strong salary and traditional benefits. In this session, we'll look at how executive benefits and non-qualified plans play a critical role in recruiting, rewarding, and retaining key employees, while also providing tax-efficient strategies for both employers and executives.



FEATURED SPEAKER:

Lori Simpson

Regional Vice President, NQ Plans
Principal

November 6, 2025

Morgan Stanley Retirement Plan Survey: Trends & Takeaways for Plan Sponsors

Staying ahead of industry trends is essential for plan sponsors looking to enhance their retirement plan offerings and meet evolving participant needs. In this session, we'll share and discuss the results of Morgan Stanley's latest retirement plan survey and the insights it revealed about plan sponsors' attitudes toward the topics most important to their plan.

Morgan Stanley

FEATURED SPEAKER:

Franco LoFaro

Executive Director, Co-Head of Retirement |
Institutional Consulting Solutions
Morgan Stanley

THE KELLIHER CORBETT GROUP AT MORGAN STANLEY

serves as a dedicated and seasoned advocate, providing thoughtful investment advice and solutions tailored to their clients' unique goals. Founded in 1992, the team of fourteen investment professionals manages over \$5 billion* in assets, offering a full suite of investment management and advisory services to individuals, families, corporations, and institutions. Clients of the Kelliher Corbett Group benefit from the scope, scale, and oversight of a global financial services firm coupled with the independence, objectivity, and individualized service capabilities of a boutique advisory and consulting group.
(*as of 7/31/2025)

4X Barron's Top 100 Institutional Consulting Teams in The Nation (2022-2025)

141 Longwater Drive, Suite 102
Norwell, MA 02061
www.KelliherCorbettGroup.com
Kellihercorbettgrp@ms.com
877-535-4437

Attendance at this Video Conferencing meeting is through direct invitation by Morgan Stanley Smith Barney LLC (Morgan Stanley).

All attendees should identify if anyone else is present with them at the start of the session so that the meeting organizer is aware of everyone in attendance. Attendees who are joining via a forwarded invitation from someone outside of Morgan Stanley should provide their full name and email address when attending the meeting or excuse themselves from this meeting.

All information, content, products and services discussed during the meeting are intended only for individuals accepted into the meeting and residing in states where the meeting organizer is registered. You may not record, reproduce, publish or distribute any of the content or materials discussed and/or presented during the meeting without the express written consent of Morgan Stanley.

Any information or content shared by an attendee as a meeting participant (including, but not limited to, documents or applications) will be visible to all other attendees. Do not share information or content if you do not want it visible to other attendees.

Guest speakers are neither employees of nor affiliated with Morgan Stanley Smith Barney LLC. Opinions expressed by guest speakers are solely their own and do not necessarily reflect those of Morgan Stanley.

There will be no discussion or distribution of written materials relating to specific products or investments at this presentation. The appropriateness of a particular investment or strategy will depend on an investor's individual circumstances and objectives. Principal value and return of an investment will fluctuate with changes in market conditions. Estimates of future performance are based on assumptions that may not be realized. For any potential forward-looking statements, there can be no guarantee that they will come to pass.

Morgan Stanley Smith Barney LLC ("Morgan Stanley"), its affiliates and Morgan Stanley Financial Advisors and Private Wealth Advisors do not provide tax or legal advice. Clients should consult their tax advisor for matters involving taxation and tax planning, and their attorney for matters involving trust and estate planning, charitable giving, philanthropic planning and other legal matters.

Morgan Stanley Smith Barney LLC is a registered broker-dealer, member SIPC, and not a bank. Where appropriate, Morgan Stanley Smith Barney LLC has entered into arrangements with banks and other third parties to assist in offering certain banking-related products and services.