

Morgan Stanley

# The Harbor Group At Morgan Stanley

Following a thorough process, we help you create a highly customized and truly comprehensive wealth plan that touches virtually every aspect of your financial life. Our goal is to help you preserve and grow the wealth you have created, and to help you and your family maintain unity as you pass both assets and values across generations. We provide you with personalized and consultative guidance, and we assemble and integrate the needed skills and resources to help you succeed on your terms.

**PROFESSIONALS EXPERIENCED IN:**

Wealth Management

Investment Strategy

Lending

Insurance Solutions

Philanthropy Management

Wealth Transfer

Estate and Financial Planning Strategies

Morgan Stanley



**THE HARBOR GROUP  
AT MORGAN STANLEY**

55 E 52<sup>nd</sup> Street– 7<sup>th</sup> Floor  
New York, NY 10055

212-603-6206/MAIN

<https://advisor.morganstanley.com/the-harbor-group-10831120>



**OUR MISSION**

We work to provide you and your family with the insight, service and resources you need to address your financial challenges and work toward your most important life goals.

Forbes Best-In-State Wealth Management Teams  
Source: Forbes (Awarded Nov 2024) Data compiled by SHOOK Research LLC based on time period from 3/31/23-3/31/24. Please refer to important criteria and methodology at the end of this material.

## Your Wealth Management Team

We are a team of dedicated financial professionals who combine our talents to help you develop and implement comprehensive, multigenerational wealth plans. We are committed to the success of our clients and to delivering a truly superior service experience.

### The Advisors



**John Vessa, CFP® CIMA®**  
*Managing Director, Wealth Management  
Financial Advisor  
Family Wealth Director  
Senior Investment Management Consultant*

John Vessa is a Managing Director Wealth Management at Morgan Stanley in New York City who has been with the firm for over 3 decades. John also is a founding partner of The Harbor Group at Morgan Stanley. As a designated Family Wealth Director, John is one of a select few financial advisors recognized by Morgan Stanley for their experience and knowledge in providing wealth advisory services to some of the firm's most affluent private clients and corporate executives. John is a member of Morgan Stanley's 2025 prestigious Chairman's Club, an elite group composed of the Firm's top Financial Advisors.

Along with Wealth Management, John's team focuses on asset management for SPAC (Special Purpose Acquisition Company) trust accounts. With 20 years of experience, over 130 SPACs and \$30 billion of SPAC trust fund assets managed, John's team has become a preferred provider in this space.

John has been named to Forbes Magazine's annual list of America's Best in State Wealth Advisors (2025, 2024, 2023, 2022, 2021, 2020, 2019 and 2018), Barron's Top 1,200 Financial Advisors (2025, 2024, 2023, 2022, 2021 and 2020), Barron's Top 250 Private Wealth Management Teams 2025 and 2024, Forbes America's Top Wealth Management Teams 2025, 2024, 2023 and 2022 as well as Forbes Best-In-State Wealth Management Teams 2026, 2025, 2024 and 2023.

After beginning his career as a Certified Public Accountant at Arthur Anderson & Co., Inc. (however, does not perform this function at Morgan Stanley), John served as VP- Finance for BJK&E advertising agency (now part of the Interpublic Group). John is a Certified Investment Management Analyst<sup>SM</sup> through IMCA and the Wharton School of Business as well as a CERTIFIED FINANCIAL PLANNER<sup>™</sup>. A native New Yorker, John currently resides on Long Island where he and his wife have been involved in a variety of community and charitable activities. When not counseling clients, John enjoys travel, golf, music and sports.



**Jeffrey Di Napoli, CFP® CRPS®**  
*Managing Director, Private Wealth Management  
Private Wealth Advisor  
International Client Advisor*

Jeff Di Napoli is a Managing Director, Private Wealth Advisor, and International Client Advisor with The Harbor Group at Morgan Stanley. Jeff is based out of Morgan Stanley's Midtown South Market in New York City where he is managing partner of The Harbor Group, a group of 11 financial professionals with combined experience over 100 years.

Jeff and his team develop customized wealth management solutions for C-suite executives, families, entrepreneurs, foundations and endowments. As a member of the Estate Planning Council of NYC Jeff is focused on staying current with wealth preservation and transfer strategies. He is a strong advocate of tailored solutions and creates long-term plans for clients to help reach their goals while identifying near-term global tactical opportunities. The Harbor Group manages equity, fixed income and alternative investment portfolios in excess of \$7 billion, as of December 31, 2025.

Jeff attended Providence College and after graduation he traveled to the West Coast where he began his career in Finance. Ultimately moving back to NYC where he started his family and joined Smith Barney. Jeff continues to stay involved with Providence College as a board member on the school's Wall Street Alumni Council and at the Big East basketball tournament cheering for his team. He is very dedicated to community service. The causes closest to his heart include initiatives towards Parkinson's research in honor of his mother, who has battled the disease for over thirty years, and serving on the Board of Directors of the Institute of Applied Human Dynamics, an organization created in 1957 to support individuals with intellectual and developmental disabilities.

Jeff and his wife live in Larchmont, NY, with their three boys. He is an avid sports fan and enjoys golfing, skiing and recreating meals from his favorite restaurants.

A highly regarded professional, Jeff is consistently named as one of the top financial advisors in America by Forbes and has received multiple national and state recognitions for his accomplishments:

- 2026, 2025, 2024 & 2023 Forbes Best-In-State Wealth Management Teams
- 2025, 2024, 2023, 2022 and 2021 Forbes Best-in State Wealth Advisors
- 2025, 2024, 2023 & 2022 Forbes America's Top Wealth Management Teams
- 2025 and 2024 Barron's Top 250 Private Wealth Management Teams
- 2020, 2019, 2018, and 2017 Forbes Top Next Gen Wealth Advisors

## The Advisors



**Corey Fleisher**

*Senior Vice President  
Financial Advisor*

Corey is a Financial Advisor with The Harbor Group at Morgan Stanley in Manhattan, New York. He joined in 2007, supporting the team to help maintain client relationships and facilitate trade executions for high-net-worth families in various individual securities such as equities, fixed income, options, futures and commodities.

In 2016, Corey assumed a role in Morgan Stanley's Wealth Advisory Associate program, where he developed comprehensive financial plans for clients of advisors across his branch as well as the Harbor Group. After the program, in 2018, he transitioned into a Financial Advisor role. Together with his partners and team members in The Harbor Group, Corey provides personal and customized strategies that enable clients to help reach their financial goals. He also works with multi-generational families to help educate younger generations as they begin to build and grow their wealth. Notably, Corey was named to Morgan Stanley's 2021 Pacesetter's Club.

Corey holds a Bachelor's degree in Business Administration with a focus in Finance from the University of Delaware while also holding a series 7, 3, 63, 65 and insurance license. In the years that Corey has grown with the group, he has also grown his family and is now happily raising two boys with his wife in Pleasantville, New York.



**Fitzroy Whyte**

*Financial Advisor*

As a Financial Advisor with The Harbor Group at Morgan Stanley, Fitzroy Whyte is dedicated to helping individuals, families, and business owners grow, preserve, and manage their wealth through thoughtful planning. He works closely with clients to develop tailored financial strategies that align with their long-term goals, empowering them to make confident financial decisions.

Prior to joining The Harbor Group, Fitzroy was a part of Morgan Stanley's Wealth Advisor Associate program, where he crafted financial plans for clients of financial advisors across his complex. His professional journey began outside of finance, spending over a decade in management at a country club in New Rochelle, New York, and simultaneously owning and operating a residential cleaning franchise in Southern Westchester, New York. These experiences refined his ability to anticipate client needs, provide personalized solutions, and consistently deliver exceptional service – an approach he now applies to his financial advisory practice.

Fitzroy earned a degree in Business Management with a concentration in finance and accounting from Stony Brook University. He holds Series 7, 66, and Health and Life insurance licenses. Outside of work, he enjoys traveling, golfing, cooking, and reading.



**Richard Frank**

*Senior Vice President  
Financial Advisor*

Rich is a Senior Vice President, Financial Advisor with The Harbor Group, delivering tailored wealth advisory services to affluent private clients, C-suite executives, corporations, foundations, and endowments. His practice serves multi-generational families, businesses, family offices, and nonprofits, with a focus on investment management and portfolio construction. Through a customized investment process, Rich aligns strategies with each client's unique goals and risk tolerance.

In addition, Rich is a member of The Harbor Group's SPAC team, which focuses on asset management for Special Purpose Acquisition Company trust accounts. The team has managed over 130 SPACs, custodialing tens of billions in assets, establishing itself as a preferred provider in the space for over 20 years as of 12/31/2025.

Beginning his career at Cantor Fitzgerald, Rich brings a strong foundation in capital markets. He earned a Bachelor's degree in Business Administration with a concentration in Finance from Towson University and completed the Financial Planning Program at New York University's School of Professional Studies. He holds Series 7, 63, and 65 licenses, along with Life, Health, and Variable Annuities licenses.

Residing on Long Island with his wife and children, Rich commutes to New York City daily via the LIRR. Outside of work, he enjoys travel, exercise, golf, and cheering for New York sports teams, including the Rangers, Giants, Yankees, and Knicks. From spring through fall, he finds joy in tending to his family's vegetable garden.



**Janice L. Alexander**

*Vice President  
Financial Advisor*

Janice began her career over 35 years ago at the World Financial Center, where she developed a passion for helping guide clients through all market conditions with confidence. A seasoned financial professional, Janice focuses on comprehensive financial planning, helping individuals and families achieve their long-term goals. Known for her ability to provide clarity and reassurance, she has built long lasting relationships with her clients by prioritizing their financial well-being.

Janice resides in Manhattan. She holds a Bachelor's Degree from Spelman College, and Master's Degree from Georgia State University. Additionally, Janice holds Series 7, 3, 63, as well as Annuity and Insurance Licenses. Outside of work, Janice enjoys exploring new destinations through travel and is an avid Performing Arts enthusiast, often attending performances that inspire her appreciation for the arts.

## The Team



### Iraida Gonzalez, CFP®\*

*First Vice President  
Chief of Staff  
Registered Service Associate*

Iraida, First Vice President and Chief of Staff for the Harbor Group, has been a dedicated member of the team for 26 years. Iraida's story is a real-life success story seizing every bit of opportunity the firm offered her to advance. Growing up the daughter of a single parent, raised in the Bronx she was taught that hard work and perseverance would be the only way to rise as a Latino female in the world of finance.

For several years Iraida served as the Treasurer for the Women of Woodlawn, an organization focused of making the community a better place. This was achieved by obtaining grants to launch educational initiatives as well as beautification projects.

As Chief of Staff, she oversees all administrative items, monitors all accounts and has constant communication with clients. She graduated from Hunter College with a BA in Sociology. She currently lives in the Edgemont section of Scarsdale with her husband and daughter.



### Diana C Patrascu

*First Vice President  
Director of Investments*

Diana spearheads the team's investment management program by conducting research and due diligence across equities, fixed income, mutual funds, separately managed accounts, structured products, and alternative investments. This includes leading the team's portfolio management and investment manager analysis as well as creating customized strategies that are tailored to specific client needs.

Prior to joining the team, Diana was an Investment Analyst within Credit Suisse's Private Banking & Wealth Management division, where she helped her Financial Advisors manage both their Equity and Master Limited Partnerships portfolios. She also held prior roles within Mergers & Acquisitions and Structured Products.

Diana was a nationally ranked tennis player who trained and competed both domestically and internationally. She lives in New York City and continues to be an avid tennis player.

She graduated from Boston University's School of Management in 2011, where she majored in Finance and holds the Series 7 and 66 licenses.



### Katherine Towl Khouri

*Vice President  
Director of Business Strategy  
Financial Planning Specialist\*  
Registered Service Associate*

Drawing on more than 20 years of industry experience, Katie is the Director of Business Strategy with The Harbor Group at Morgan Stanley. Katie engages with clients, management, advisors and advisory professionals to help identify potential investment opportunities and assist with the formulation and implementation of strategic initiatives to meet specific client needs. Katie has served in a variety of business development and leadership roles and dedicates herself to providing clients with confidence.

Katie's experience in the financial services industry has given her the knowledge regarding comprehensive financial plans, investment manager selection, portfolio analysis, and sound investment strategies that reflect the personal and financial objectives of each individual, family or institution. Katie is committed to building long-term relationships with clients as well as consistently delivering an exceptional client experience.

Katie received her MBA from the Leonard N. Stern School of Business at New York University with a specialization in Finance and a dual BA degree from the University of Michigan in Organizational Studies and Communications. Katie also holds FINRA Series 7, 63 and 66 licenses. Hailing from Westchester County and Manhattan, Katie now resides in Connecticut with her husband and baby boy. Her favorite leisure activities include CrossFit, skiing and spending time with family and friends.

## The Team



**Dawn Mazzei**

*Assistant Vice President  
Business Development Director  
Financial Planning Specialist*

Dawn, who has been in the financial services industry for over three decades, interfaces with our diverse set of clientele, seamlessly and efficiently managing all operational and administrative aspects of the many client requests that come her way. Her eye for detail, organizational skills, and proactive work ethic are integral to the team dynamic, and with over two decades of experience with Morgan Stanley and its predecessors. She will also be a vital source of insight with regards to each client's unique financial picture. She holds the Series 7/63/65 and Series 9/10 licenses and has been a registered securities representative since 1994.

Prior to joining Morgan Stanley, Dawn worked at Prudential Securities as a branch facilitator and resource for financial advisors and clients. In this branch management capacity, she served as a liaison between not only the branch and Prudential, but also between the clients and the firm.



**Frank Powers**

*Vice President  
Group Director*

Frank Powers joined The Harbor Group in January 2025 as a Group Director. Prior to joining The Harbor Group, he was a Business Service Officer managing operations within Morgan Stanley's Fifth Avenue Wealth Management market since 2022. Frank started his career and spent 13 years with asset manager Neuberger Berman in various roles including providing operational and trading support for Neuberger Berman's International Equities team in both EMEA and Emerging Markets. Frank then spent several years as a compliance officer at Pinebridge Investments in the Investment and Trade Compliance department providing day to day Investment guideline oversight to institutional clients in the public securities markets. He received a BS in Business Administration from Fordham University concentrating in finance with a minor in economics. Frank also holds FINRA series 9, 10, 7 & 66 licenses.

Frank considers himself an avid D-I-Y'er having put many hours into 'improving' on his 1922 built home in White Plains which he shares with his wife, Nina, and 2 young children, Antonio and Elena.

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Morgan Stanley Smith Barney LLC does not accept appointments nor will it act as a trustee but it will provide access to trust services through an appropriate third-party corporate trustee.

Morgan Stanley Smith Barney LLC offers insurance products in conjunction with its licensed insurance agency affiliates.

#### **Forbes Best-In- State Wealth Advisors**

Source: Forbes.com (2018, 2019, 2020, 2021, 2022, 2023, 2024). Forbes Best-In- State Wealth Advisors ranking awarded in 2018, 2019, 2020, 2021, 2022, 2023, 2024. Each ranking was based on an evaluation process conducted by SHOOK Research LLC (the research company) in partnership with Forbes (the publisher). This evaluation process concluded in June of the previous year the award was issued having commenced in June of the year before that. Neither Morgan Stanley Smith Barney LLC nor its Financial Advisors or Private Wealth Advisors paid a fee to SHOOK Research LLC to obtain or use the ranking. This ranking is based on in-person and telephone due diligence meetings to evaluate each advisor qualitatively, a major component of a ranking algorithm that includes client retention, industry experience, review of compliance records, firm nominations, and quantitative criteria, including assets under management and revenue generated for their firms. Investment performance is not a criterion. Rankings are based on the opinions of SHOOK Research LLC and this ranking may not be representative of any one client’s experience. This ranking is not indicative of the Financial Advisor’s future performance. Morgan Stanley Smith Barney LLC is not affiliated with SHOOK Research LLC or Forbes. For more information, see [www.SHOOKresearch.com](http://www.SHOOKresearch.com).

#### **Forbes America’s Top Next-Gen Wealth Advisors & Top Next-Gen Wealth Advisors Best-in-State** (formerly referred to as Forbes America's Top Next-Gen Wealth Advisors, Forbes Top 1,000 Next-Gen Wealth Advisors, Forbes Top 500 Next Generation Wealth Advisors)

Source: Forbes.com (2017, 2018, 2019, 2020). Forbes America’s Top Next-Gen Wealth Advisors & Top Next-Gen Wealth Advisors Best-In-State ranking awarded in 2017, 2018, 2019, 2020. Each ranking was based on an evaluation process conducted by SHOOK Research LLC (the research company) in partnership with Forbes (the publisher). This evaluation process concluded in March of the year the award was issued having commenced in March of the previous year. Neither Morgan Stanley Smith Barney LLC nor its Financial Advisors or Private Wealth Advisors paid a fee to SHOOK Research LLC to obtain or use the ratings. These rankings are based on in-person and telephone due diligence meetings to evaluate each advisor qualitatively, a major component of a ranking algorithm that includes client retention, industry experience, review of compliance records, firm nominations, and quantitative criteria, including assets under management and revenue generated for their firms. Investment performance is not a criterion. Rankings are based on the opinions of SHOOK Research LLC and these rankings may not be representative of any one client’s experience. These rankings are not indicative of the Financial Advisor’s future performance. Morgan Stanley Smith Barney LLC is not affiliated with SHOOK Research or Forbes. For more information, see [www.SHOOKresearch.com](http://www.SHOOKresearch.com).

#### **Barron’s Top 1,200 Financial Advisors: State-by-State** (formerly referred to as Barron's Top 1,000 Financial Advisors: State-by-State)

Source: Barrons.com (2020, 2021, 2022, 2023, 2024). Barron’s Top 1,200 Financial Advisors: State-by-State ranking awarded in 2020, 2021, 2022, 2023, 2024. Each ranking was determined based on an evaluation process conducted by Barron’s which concluded in September of the previous year the award was issued having commenced in September of the year before that. Neither Morgan Stanley Smith Barney LLC nor its Financial Advisors or Private Wealth Advisors paid a fee to Barron’s to obtain or use the ranking. This ranking is based on in-person and telephone due diligence meetings to evaluate each advisor qualitatively, a major component of a ranking algorithm that includes client retention, industry experience, review of compliance records, firm nominations, and quantitative criteria, including assets under management and revenue generated for their firms. Investment performance is not a criterion. Rankings are based on the opinions of Barron’s and this ranking may not be representative of any one client’s experience. This ranking is not indicative of the Financial Advisor’s future performance. Morgan Stanley Smith Barney LLC is not affiliated with Barron’s. Barron’s is a registered trademark of Dow Jones & Company, L.P. All rights reserved.

**Forbes America's Top Wealth Management Teams (Top HNW & Top PW) 2022, 2023, 2024, 2025.**

Source: Forbes.com Awarded in 2022, 2023, 2024, 2025. Each ranking was based on an evaluation process conducted by SHOOK Research LLC (the research company) in partnership with Forbes (the publisher). This evaluation process concluded in March of the year the award was issued, having commenced in March of the prior year. Neither Morgan Stanley Smith Barney LLC nor its Financial Advisors or Private Wealth Advisors paid a fee to SHOOK Research LLC, for placement on its rankings. This ranking is based on in-person and telephone due diligence meetings to evaluate each Financial Advisor qualitatively, a major component of a ranking algorithm that includes client retention, industry experience, review of compliance records, firm nominations, and quantitative criteria, including assets under management and revenue generated for their firms. Investment performance is not a criterion. Rankings are based on the opinions of SHOOK Research LLC and may not be representative of any one client's experience; investors must carefully choose the right Financial Advisor or team for their own situation and perform their own due diligence. These rankings are not indicative of the Financial Advisor's future performance. Morgan Stanley Smith Barney LLC is not affiliated with SHOOK Research LLC or Forbes. For more information, see [www.SHOOKresearch.com](http://www.SHOOKresearch.com).

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**2024 Barron's Top 250 Private Wealth Management Teams**

Source: Barron's (May 2024) Barron's Top 250 Private Wealth Management Teams ranking awarded in 2024. This ranking was determined based on an evaluation process conducted by Barron's for the period from Jan 2023-Dec 2023. Neither Morgan Stanley Smith Barney LLC nor its Financial Advisors or Private Wealth Advisors paid a fee to Barron's to obtain or use the ranking. This ranking is based on an algorithm that includes client retention, industry experience, review of compliance records, firm nominations, and quantitative criteria, including assets under management and revenue generated for their firms. Investment performance is not a criterion. Rankings are based on the assessment of Barron's and this ranking may not be representative of any one client's experience. This ranking is not indicative of the Financial Advisor's future performance. Morgan Stanley Smith Barney LLC is not affiliated with Barron's. Barron's is a registered trademark of Dow Jones & Company, L.P. All rights reserved.

**2026, 2025, 2024 & 2023 Forbes Best-In-State Wealth Management Teams**

Source: Forbes (Awarded January 2023-2026). This ranking was determined based on an evaluation process conducted by SHOOK Research LLC (the research company) in partnership with Forbes (the publisher) for the period 3/31/22-3/31/25. Neither Morgan Stanley Smith Barney LLC nor its Financial Advisors or Private Wealth Advisors paid a fee to SHOOK Research LLC, for placement on its rankings. This ranking is based on in-person and telephone due diligence meetings to evaluate each Financial Advisor qualitatively, a major component of a ranking algorithm that includes client retention, industry experience, review of compliance records, firm nominations, and quantitative criteria, including assets under management and revenue generated for their firms. Investment performance is not a criterion. Rankings are based on the opinions of SHOOK Research LLC and may not be representative of any one client's experience; investors must carefully choose the right Financial Advisor or team for their own situation and perform their own due diligence. This ranking is not indicative of the Financial Advisor's future performance. Morgan Stanley Smith Barney LLC is not affiliated with SHOOK Research LLC or Forbes. For more information, see [www.SHOOKresearch.com](http://www.SHOOKresearch.com)

**2025 Forbes America's Top Wealth Advisors & Best-In-State Wealth Advisors**

Source: Forbes.com (Awarded April 2025). This ranking was determined based on an evaluation process conducted by SHOOK Research LLC (the research company) in partnership with Forbes (the publisher) for the period 6/30/23-6/30/24. Neither Morgan Stanley Smith Barney LLC nor its Financial Advisors or Private Wealth Advisors paid a fee to SHOOK Research LLC for placement on its rankings. This ranking is based on in-person, virtual and telephone due diligence meetings to evaluate each Financial Advisor qualitatively, a major component of a ranking algorithm that includes client impact, industry experience, credentials, review of compliance records, firm nominations, and quantitative criteria, including assets under management and revenue generated for their firms. Investment performance is not a criterion. Rankings are based on the opinions of SHOOK Research LLC and may not be representative of any one client's experience; investors must carefully choose the right Financial Advisor or team for their own situation and perform their own due diligence. This ranking is not indicative of the Financial Advisor's future performance. Morgan Stanley Smith Barney LLC is not affiliated with SHOOK Research LLC, or Forbes. For more information, see [www.SHOOKresearch.com](http://www.SHOOKresearch.com).

**2025 Barron's Top 250 Private Wealth Management Teams**

Source: Barron's (May 2025) Barron's Top 250 Private Wealth Management Teams ranking awarded in 2024. This ranking was determined based on an evaluation process conducted by Barron's for the period from Jan 2024-Dec 2024. Neither Morgan Stanley Smith Barney LLC nor its Financial Advisors or Private Wealth Advisors paid a fee to Barron's to obtain or use the ranking. This ranking is based on an algorithm that includes client retention, industry experience, review of compliance records, firm nominations, and quantitative criteria, including assets under management and revenue generated for their firms. Investment performance is not a criterion. Rankings are based on the assessment of Barron's and this ranking may not be representative of any one client's experience. This ranking is not indicative of the Financial Advisor's future performance. Morgan Stanley Smith Barney LLC is not affiliated with Barron's. Barron's is a registered trademark of Dow Jones & Company, L.P. All rights reserved.

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