Save Draft Clear Form

Morgan Stanley

Document Checklist

Below are a list of documents that can help in the creation of your wealth management plan. Please provide all documents that have been indicated by a check mark.

☐ Personal Assets and Investments

- ☐ Bank Statements: Savings and Checking
- ☐ Investment Statements
- ☐ Stock Options and Stock Purchase Plan Agreements
- ☐ Other Personal Assets and Investments: ____________
  [Maximum 45 Characters]

☐ Insurance and Annuity Statements

- ☐ Life Insurance Policies
- ☐ Long-Term Disability Policies
- ☐ Long-Term Care Policies
- ☐ Annuity Statements
- ☐ Other Policies: [Maximum 45 Characters]

☐ Liability Statements

- ☐ Mortgage Statements
- ☐ Home Equity Loan Statements
- ☐ Other Loan Statements (i.e., Margin, Auto, Education, etc.)

☐ Retirement Statements

- ☐ Company Pension Plan Statements
- ☐ 401(k)/403(b) Plan Statements
- ☐ SEPs/SIMPLE/Keogh Statements
- ☐ IRA/Roth IRA Statements
- ☐ Social Security Earnings and Benefit Estimate Statements
- ☐ Summary Plan Description from Employer Provided Benefits

☐ Estate Planning Documents

- ☐ Summary of Will
- ☐ Living Will
- ☐ Durable Powers of Attorney
- ☐ Health Care Proxies
- ☐ Living Trusts or other trust documents

☐ Tax Documents

- ☐ Tax Return for latest year
- ☐ W-2 and recent pay stub
- ☐ Estimated Yearly Taxes

☐ Other Documents

[Maximum 225 Characters]

Notes

[Maximum 325 Characters]

© 2012 Morgan Stanley Smith Barney LLC. Member SIPC.

Morgan Stanley and its Financial Advisors do not provide tax or legal service. Consult your personal tax advisor or attorney for matters involving taxation and tax planning and your attorney for matters involving personal trusts and estate planning.

NY CS 7241199 10/12
PS46326