

OCTOBER 1, 2022

Sean Parks Named to Five Star Professional's "Five Star Wealth Manager" List

Orinda – Morgan Stanley announced today that Sean Parks, a First Vice President, Financial Advisor in the Firm's Orinda Wealth Management office, has been named to Five Star Professional's list of Five Star Wealth Manager's for 2022, listed on [fivestarprofessional.com](https://www.fivestarprofessional.com).

Five Star Professional's "Five Star Wealth Manager's" is a select group of individuals who have a minimum of five years of industry experience. The ranking, developed by Five Star Professional, is based on objective criteria, rating over a thousand wealth advisors and weighing factors like client retention rates, AUM, compliance records, industry experience and education.

"I am pleased that Sean Parks is representing Morgan Stanley," commented Adam Koplan, Branch Manager of Morgan Stanley's Orinda office. "To be named to Five Star Professional's list recognizes Sean's experience, professionalism and dedication to the needs of his valued clients."

Morgan Stanley Wealth Management, a global leader, provides access to a wide range of products and services to individuals, businesses and institutions, including brokerage and investment advisory services, financial and wealth planning, cash management and lending products and services, annuities and insurance, retirement and trust services.

Source: [FiveStarProfessional.com](https://www.fivestarprofessional.com) (October 2022) This award was issued on 10/1/22 by Five Star Professional (FSP) for the time period 01/17/2022 through 07/15/2022. Fee paid for use of marketing materials. Self-completed questionnaire was used for rating. This rating is not related to the quality of the investment advice and based solely on the disclosed criteria. 1155 San Francisco - East Bay-area wealth managers were considered for the award; 90 (8% of candidates) were named 2022 Five Star Wealth Managers. Wealth managers do not pay a fee to be considered or placed on the final list of Five Star Wealth Managers. The award is based on 10 objective criteria. Eligibility criteria-required: 1. Credentialed as a registered investment adviser (RIA) or a registered investment adviser representative; 2. Actively licensed as a RIA or as a principal of a registered investment adviser firm for a minimum of 5 years; 3. Favorable regulatory and complaint history review (As defined by FSP, the wealth manager has not; A. Been subject to a regulatory action that resulted in a license being suspended or revoked, or payment of a fine; B. Had more than a total of three settled or pending complaints filed against them and/or a total of five settled, pending, dismissed or denied complaints with any regulatory authority or FSP's consumer complaint process. Unfavorable feedback may have been discovered through a check of complaints registered with a regulatory authority or complaints registered through FSP's consumer complaint process; feedback may not be representative of any one client's experience; C. Individually contributed to a financial settlement of a customer complaint; D. Filed for personal bankruptcy within the past 11 years; E. Been terminated from a financial services firm within the past 11 years; F. Been convicted of a felony); 4. Fulfilled their firm review based on internal standards; 5. Accepting new clients. Evaluation criteria-considered: 6. One-year client retention rate; 7. Five-year client retention rate; 8. non-institutional discretionary and/or non-discretionary client assets administered; 9. Number of client households served; 10. Education and professional designations. FSP does not evaluate quality of services provided to clients. The award is not indicative of the wealth manager's future performance. Wealth Managers may or may not use discretion in their practice and therefore may not manage their clients' assets. The inclusion of a wealth manager on the Five Star Wealth Manager list should not be construed as an endorsement of the wealth manager by FSP or this publication. Working with a Five Star Wealth Manager or any wealth manager is no guarantee as to future investment success, nor is there any guarantee that the selected wealth managers will be awarded this accomplishment by FSP in the future. Visit www.fivestarprofessional.com.

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