Morgan Stanley

January 23, 2024

Katie DuBois Receives Promotion at Morgan Stanley Wealth Management

Westlake Village, CA - Morgan Stanley (NYSE: MS) today announced that Katie DuBois, a Financial Advisor and Senior Portfolio Manager in the Firm's Wealth management office in Westlake Village, has been promoted to Vice President.

Katie, who has been with Morgan Stanley Wealth Management since 2018, is a native of Valencia, California. She holds a bachelor's degree in Economics from UCLA. Katie currently lives in Moorpark with her husband and two dogs.

Morgan Stanley Wealth Management, a global leader, provides access to a wide range of products and services to individuals, businesses and institutions, including brokerage and investment advisory services, financial and wealth planning, cash management and lending products and services, annuities and insurance, retirement and trust services.

Morgan Stanley (NYSE: MS) is a leading global financial services firm providing investment banking, securities, wealth management and investment management services. With offices in more than 42 countries, the Firm's employees serve clients worldwide including corporations, governments, institutions and individuals. For more information about Morgan Stanley, please visit www.morganstanley.com.

###

When Morgan Stanley Smith Barney LLC, its affiliates and Morgan Stanley Financial Advisors and Private Wealth Advisors (collectively, "Morgan Stanley") provide "investment advice" regarding a retirement or welfare benefit plan account, an individual retirement account or a Coverdell education savings account ("Retirement Account"), Morgan Stanley is a "fiduciary" as those terms are defined under the Employee Retirement Income Security Act of 1974, as amended ("ERISA"), and/or the Internal Revenue Code of 1986 (the "Code"), as applicable. When Morgan Stanley provides investment education, takes orders on an unsolicited basis or otherwise does not provide "investment advice", Morgan Stanley will not be considered a "fiduciary" under ERISA and/or the Code. For more information regarding Morgan Stanley's role with respect to a Retirement Account, please visit www.morganstanley.com/disclosures/dol. Tax laws are complex and subject to change. Morgan Stanley does not provide tax or legal advice. Individuals are encouraged to consult their tax and legal advisors (a) before establishing a Retirement Account, and (b) regarding any potential tax, ERISA and related consequences of any investments or other transactions made with respect to a Retirement Account.

Advisory relationships may not be appropriate in certain situations.

© 2024 Morgan Stanley Smith Barney LLC, member SIPC

CRC# 6203702 01/2024