Morgan Stanley

LifeView® Client Profile

The first step in creating a personalized financial strategy is to develop a thorough understanding of your financial goals and the resources available to fund them. This document will help define and prioritize these goals and identify your resources. In addition, please gather statements for any accounts not held at Morgan Stanley, which will be helpful when discussing resources to fund your financial goals.

Personal Information

Name	Client	Co-Client
Date of Birth		
Gender	◯ Male ◯ Female	◯ Male ◯ Female
Marital Status	◯ Single ◯ Widow / Widower ◯ Married ◯ Domestic Partners	◯ Single ◯ Widow / Widower ◯ Married ◯ Domestic Partners
Employment Status	◯ Retired ◯ Employed ◯ Business Owner ◯ Not Currently Employed	◯ Retired ◯ Employed ◯ Business Owner ◯ Not Currently Employed
Employment Income	$	$
Other Income	$	$
State of Residence		

Dependents

Name	Relationship	Date of Birth
1.		
2.		
3.		
4.		

DATE COMPLETED: / /

Any information that you provide to us or that we provide to you is for investment education only. The information should not be viewed or relied upon as advice with respect to asset allocation or any particular investments. The information is provided to us on the condition that Morgan Stanley is not an adviser or a fiduciary to you as a result.

To be used with the LifeView® Goal Analysis and/or LifeView® Advisor Tools

Defining Financial Goals

Retirement Lifestyle Expense Goals

Desired Retirement Age *The age at which you would like to retire*	**Client**	**Co-Client**
Willingness to Retire Later to Attain Goals? (if necessary)	◯ Not at All Willing ◯ Slightly Willing ◯ Somewhat Willing ◯ Very Willing	◯ Not at All Willing ◯ Slightly Willing ◯ Somewhat Willing ◯ Very Willing
Desired Income Amount (After Tax)	$	per year

Education Goals

Importance High-Low (10–1)	**Description**	**Student**	**Desired Amount (After Tax)**	**Start Date**	**Number of Years**
			$		
			$		
			$		
			$		

Other Financial Goals

Importance High-Low (10–1)	**Description**	**Start**	**Desired Amount (After Tax)**	**Number of Years**
		◯ At Retirement ◯ Year: ________		
		◯ At Retirement ◯ Year: ________		
		◯ At Retirement ◯ Year: ________		
		◯ At Retirement ◯ Year: ________		
		◯ At Retirement ◯ Year: ________		

Resources

Retirement Income

Social Security

	Client	Co-Client
Are you eligible?	◯ Yes ◯ No	◯ Yes ◯ No
If receiving now, enter amount/month	$	$
If currently employed, when should benefits begin?	◯ At Retirement ◯ At Age ______	◯ At Retirement ◯ At Age ______

Other Retirement Income

(e.g., from a Pension, Annuity, Trust, Part-Time Work or Rental Property Income)

Description	Owner	Amount / Month	Year It Begins	Year It Ends	Will This Benefit Increase with Inflation?
		$			◯ Yes ◯ No
		$			◯ Yes ◯ No
		$			◯ Yes ◯ No
		$			◯ Yes ◯ No

Assets Held at Other Financial Institutions

(Please provide copies of the most recent account statements or attach them to this profile.)

Taxable and Individual Retirement Accounts

(e.g., Brokerage Accounts, Savings Accounts, Annuities, IRAs, Roth IRAs, Other Tax-Deferred Accounts)

Description	Account Type	Owner	Value	Annual Savings
			$	$
			$	$
			$	$
			$	$
			$	$

Employer-Sponsored Retirement Accounts

Description	Account Type	Owner	Value	Annual Contributions	Employer Match
			$	$	
			$	$	
			$	$	
			$	$	

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Other Assets *(Residences, Personal Property, Vehicles, Businesses, Real Estate, Other)*

Description	Value
	$
	$
	$
	$
	$

Liabilities *(e.g., Home Mortgages, Car Loans, Student Loans, Personal Loans, etc.)*

Include information regarding any outstanding liabilities you may have.

Description	Loan Type (Fixed, Adjustable, Interest Only)	Initial Amount	Start Date	Balance	Interest Rate %	Term	Monthly Payment
		$		$			$
		$		$			$
		$		$			$
		$		$			$
		$		$			$
		$		$			$

Additional Information

Any information that you provide to us or that we provide to you is for investment education only. The information should not be viewed or relied upon as advice with respect to asset allocation or any particular investments.

Your LifeView® analysis will be prepared by your Financial Advisor/Private Wealth Advisor. This review will be based on the information and financial documents that you provide. Please bear in mind that our calculations and recommendations will be based on this information, as well as certain estimates, variables, and assumptions that are believed to reflect sound economic theory but are purely forecasts and cannot be guaranteed.

Morgan Stanley and its affiliates may provide different information regarding asset allocation in connection with services and programs that they offer, including a different version of this service that may be available to certain clients. Such information may be based on different methodologies, estimates, variables and assumptions than those used in connection with the LifeView analysis, and may result in different proposed asset allocations than are given by the output. Your LifeView analysis is provided to you on the condition that we are not acting as a fiduciary for purposes of the Employee Retirement Income Security Act of 1974 ("ERISA") as a result.

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